By Express Mail #EV410260125US

Attorney Docket No.: 5367-73

*Check box if applicable:* □ *DUPLICATE* 

## UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dated: December 30, 2003

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor(s): Stefan BADER, Peter MATTHIAS, Alexander WALTER, Volker HARLE

For: Substrate Holder

#### Enclosed are:

- Transmittal letter (2x) with Fee Computation Sheet
- General Authorization For Payment of Fees (2x)
- Specification, Claims 1 to 26 & Abstract (23 pages)
- Eleven (11) sheet(s) of drawing(s) (Figs. 1A to 9)
- Check for \$878 for filing fee
- Information Disclosure Statement
- PTO Form 1449 with copies of cited references
- Return Receipt Postcard

This application is to be assigned to: Osram Opto Semiconductors GmbH Wernerwerkstrasse 2 93049 Regensburg, Germany

Please charge my Deposit Account No. 03-2412 in the amount of §. A duplicate copy of this sheet is enclosed.

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- [x] The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Acct. No. 03-2412.
  - [x] Any additional filing fees required under 37 CFR 1.16.
  - [x] Any patent application processing fees under 37 CFR 1.17
  - [x] Any filing fees under 37 CFR 1.16 for presentation of extra claims.
- [x] Priority is claimed for this invention and application, corresponding applications having been filed in Germany on December 30, 2002, No. 102 61 362.1.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

By:

Thomas Langer

Reg. No. 27,264

551 Fifth Avenue, Suite 1210 New York, New York 10176

Tel: (212) 687-2770 Fax: (212) 972-5487



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# FILING FEE COMPUTATION SHEET

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Dated: December 30, 2003

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In re Application of: Stefan BADER et al.

For: Substrate Holder

The filing fee has been calculated as shown below:

| FOR:                                                                       | Col. 1           | Col. 2   | SMALL E  | NTITY | i      | R THAN<br>ENTITY |
|----------------------------------------------------------------------------|------------------|----------|----------|-------|--------|------------------|
|                                                                            | # FILED          | # EXTRA  |          |       |        |                  |
| BASIC FEE                                                                  |                  |          |          | \$385 |        | \$770            |
| TOTAL CLAIMS                                                               | <u>26</u> - 20 = | <u>6</u> | x 9 =    | \$    | x 18 = | \$108            |
| INDEPENDENT<br>CLAIMS                                                      | <u>1</u> - 3 =   | <u>0</u> | x 43 =   | \$    | x 86 = | \$               |
| [] MULTIPLE<br>DEPENDENCY                                                  |                  |          | +\$145 = | \$    | +290   | \$               |
| * If the difference in<br>Col. 1 is less than zero,<br>enter "0" in Col. 2 |                  |          | TOTAL:   | \$    |        | \$878            |

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of |                  |                         |                      |
|----------------------|------------------|-------------------------|----------------------|
| Stefan BADER et al.  |                  | Check box if applicable | k box if applicable: |
| Serial No.:          | n/a              | □ DUPLICATE             |                      |
| Filed:               | concurrently     |                         |                      |
| For:                 | Substrate Holder |                         |                      |

# GENERAL AUTHORIZATION FOR PAYMENT OF FEES AND PETITIONS FOR EXTENSIONS OF TIME

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- [X] Any filing fees required under 37 CFR §1.16.
- [X] Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- [X] The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- [X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

By

Thomas Langer, Reg. No. 27,264 551 Fifth Avenue, Suite 1210 New York, New York 10176

(212) 687-2770

Dated: December 30, 2003